

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop Petitions, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: September 14, 2006 Name: Scott A. Timmerman

Signature: 



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Neal Brady, et al.

Serial No: 10/726,851

Filed: December 2, 2003

For: METHOD AND SYSTEM FOR PROVIDING
OPTION SPREAD INDICATIVE QUOTES

Attorney Docket No. 4672/369

Examiner: Hamilton, L.

Group Art Unit: 3693

RENEWED PETITION UNDER 37 C.F.R. § 1.78(a)(3)

Mail Stop Petitions
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 C.F.R. § 1.78, Applicants respectfully renew their petition for acceptance of an unintentionally delayed claim for priority under 35 U.S.C. § 120 for the benefit of a prior filed non-provisional application.

REMARKS

Applicants request that the application be amended as shown in the attached amendment to add a reference to a prior filed non-provisional application number 10/685,907, filed Oct. 15, 2003. Applicants previously filed a petition for acceptance of an unintentionally delayed claim for priority on August 2, 2005, a copy of which is included with this revised petition. On August 31, 2006, that petition was dismissed because Applicants failed to put the amendment in proper form. Accordingly, with this petition, Applicants are including an Amendment as a separate sheet in accordance with 37 C.F.R. § 1.78(a)(2)(i), 37 C.F.R. § 1.121, 37 C.F.R. § 1.52, and 37 C.F.R. § 1.4(c). Applicants do not believe a fee is due with this petition and amendment because the petition fee was paid on August 2, 2005 with the original petition (a copy of which is included) and this renewal petition merely corrects an omission from the earlier petition. In accordance with 37 C.F.R. § 1.78(a)(3), Applicants state as follows:

(1) Applicants request that the Application be amended as shown in the attached amendment to add a reference to the prior-filed non-provisional application number 10/685,907. As in the attached amendment, the following paragraph is added on page 1 of the Application, after the title and before the heading "FIELD OF THE INVENTION":

--RELATED APPLICATIONS

This application claims priority, as a continuation-in-part, to the non-provisional application serial number 10/685,907, filed October 15, 2003, entitled "NETWORK AND METHOD FOR TRADING DERIVATIVES BY PROVIDING ENHANCED RFQ VISIBILITY."--

(2) The entire delay between the date the claim for priority was due under 37 C.F.R. § 1.78(a)(2)(ii) and the date the claim is filed herein is unintentional.

(3) The reference required by 35 U.S.C. 120 and 37 C.F.R. § 1.78(a)(3) is provided herein. This reference has not been previously presented in the Application itself. The substitute Declaration signed by inventors Neal Brady, Tom Paronis, Christopher S. Whittington, Paul A.

Petition dated: September 14, 2006

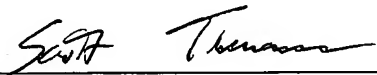
Schmid, and Jon Dahl and filed on January 8, 2004 failed to include a claim to priority of non-provisional application number 10/685,907.

(4) The Petition fee under 37 C.F.R. § 1.17(t) was paid on August 2, 2005 with the original petition, therefore Applicants believe that no fee is due. If this is incorrect, please charge any additional fee required or credit for any excess fee paid to Deposit Account No. 23-1925. A duplicate copy of this Petition is attached.

Grant of this petition and addition of the reference to the prior filed non-provisional application is respectfully requested.

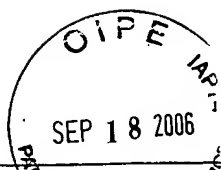
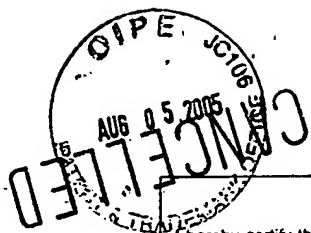
Respectfully submitted,

Date: September 14, 2006



Scott A. Timmerman
Registration No. 55,678
Attorney for Applicants

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200



DACT
IFW

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:
Date: August 2, 2005 Name: Scott A. Timmerman Signature: Scott Timmerman

**BRINKS
HOFER
GILSON
& LIONE**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: **NEAL BRADY et al.**
Appln. No.: **10/726,851**
Filed: **December 2, 2003**
For: **NETWORK AND METHOD FOR
PROVIDING OPTION SPREAD
INDICATIVE QUOTES**
Attorney Docket No: **4672/369**

Examiner: **TBD**
Art Unit: **3624**

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Petition Under 37 C.F.R. §1.78(a)(3), check in the amount of \$1,370.00
- ☒ Return Receipt Postcard

Fee calculation:

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(_____).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

Fee payment:

- ☒ A check in the amount of \$ 1370 is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

Scott Timmerman
Scott A. Timmerman (Reg. No. 55,678)

August 2, 2005
Date

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents
P.O. Box 1450, Alexandria, VA 22313-1450

August 2, 2005

Date of Deposit

Scott A. Timmerman

Name of applicant, assignee or
Registered Representative

Signature

8-2-05

Date of Signature

Our Case No. 4672-369

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Neal Brady, et al.

Serial No. 10/726,851

Filing Date: Dec. 2, 2003

For NETWORK AND METHOD FOR
PROVIDING OPTION SPREAD
INDICATIVE QUOTES

Examiner: TBD

Group Art Unit No. 3624

PETITION UNDER 37 C.F.R. § 1.78(a)(3)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 C.F.R. 1.78, Applicant respectfully petitions for acceptance of an unintentionally delayed claim for priority under 35 U.S.C. § 120 for the benefit of a prior filed non-provisional application.

08/08/2005 EAREGAY1 00000010 10726851

01 FC:1454

1370.00 DP

REMARKS

Applicant requests that the application be amended as shown below to add a reference to a prior filed non-provisional application number 10/685,907, filed Oct. 15, 2003. In accordance with 37 C.F.R. § 1.78(a)(3), Applicant states as follows:

(1) Applicant requests that the Application be amended in the following manner to add a reference to the prior-filed non-provisional application number 10/685,907:

Please add the following new paragraph on page 1 of the Application, after the title and before the heading "FIELD OF THE INVENTION":

--This application claims priority, as a continuation-in-part, to the non-provisional application serial number 10/685,907, filed October 15, 2003, entitled "NETWORK AND METHOD FOR TRADING DERIVATIVES BY PROVIDING ENHANCED RFQ VISIBILITY."--

(2) The entire delay between the date the claim for priority was due under 37 C.F.R. § 1.78(a)(2)(ii) and the date the claim is filed herein is unintentional.

(3) The reference required by 35 U.S.C. 120 and 37 C.F.R. § 1.78(a)(3) is provided herein. This reference has not been previously presented in the Application itself. The substitute Declaration signed by inventors Neal Brady, Tom Paronis, Christopher S. Whittington, Paul A. Schmid, and Jon Dahl and filed on January 8, 2004 failed to include a claim to priority of non-provisional application number 10/685,907.


(4) Attached is a check for \$1370.00 for the Petition fee under 37 C.F.R. § 1.17(t). Please charge any additional fee required or credit for any excess fee paid to Deposit Account No. 23-1925. A duplicate copy of this Petition is attached.

App. No. 10/726,851

Petition dated: August 2, 2005

Grant of this petition and addition of the reference to the prior filed non-provisional application is respectfully requested.

Respectfully submitted,



Scott A. Timmerman
Registration No. 55,678
Attorney for Applicant

August 2, 2005

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200